Dkt. 0575/50634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eric Rose et al.,

Serial No.:

09/053.872

Examiner: Jeffrey Russel

Filed

. April 1, 1998

Group Art Unit: 1653

For

METHODS FOR INHIBITING THROMBOSIS IN PATIENT

WHOSE BLOOD IS SUBJECTED TO EXTRACORPOREAL

CIRCULATION

COPY OF PAPERS ORIGINALLY FILED 1185 Avenue of the Americas New York, New York 10036 May 6, 2002

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327 Arlington VA 22202

RECEIVED

MAY 1 5 2002

COPY OF PAPERS ORIGINALLY FILED TECH CENTER 1600/2900

Sir:

STATEMENT IN ACCORDANCE WITH 37 C.F.R. \$1.821(f)

In accordance with 37 C.F.R. §1.821(f), I hereby certify that the computer readable form containing the nucleic acid and/or amino acid sequences required by 37 C.F.R. §1.821(e) and submitted in connection with the above-identified application, has the same information as the "Sequence Listing," attached as ${\tt Exhibit E}$ and contains no new matter.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such Applicants: Eric Rose et al. U.S. Serial No. : 09/053,872 Filed: April 1, 1998 Page 2

wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Company of the control of the contro

Armand L. Balboni

c/o Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

(212) 278-0400

In re Application of: Eric Rose, et al.

RECEIVER

Serial No.: 09/053,87

872 (MAY 1 0 2002 2)

Examiner : J. Russel

MAY 1 5 2002

Filed: April 1, 1998

Group Art Unit: 1653

TECH CENTER 1600/2555

For:

METHODS FOR INHIBITING THROMBOSIS IN A PATIENT WHOSE BLOOD IS SUBJECTED TO EXTRACORPOREAL CIRCULATION

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

May 6, 2002

SIR:

Transmitted herewith is an amendment to the above-identified application.

COPY OF PAPERS ORIGINALLY FILED

- _X Small entity status of this application under 37 C.F.R. \$1.9 and \$1.27 has been established by a verified statement previously submitted.
- ____ A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27 is enclosed.
- ____ No additional fee is required.

The filing fee is calculated as follows:

	NUMBER AFTER AMEND- MENT		HIGHEST NUMBER			NUMBER OF EXTRA		RATE			PER	
F			PREV	FOR		CLAIMS PRESENTED		SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	3	-	*	38	-	0	х	\$9	\$18	=	\$ O	0
Indepen- dent Claims	2	-	**	8	-	0	х	\$42.00	\$84.00	=	0	0
Multiple Dependent Claim(s) Presented Yes_X No For First Time								\$140	\$280	0		
							TOTAL A	DDITIONA	<u>. </u>			

FRE

- *If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.
- **If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.
- **If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0" in th space.

Applicants: Eric Rose, et al. Serial No.: 09/053,872 Filed: April 1, 1998

Amendment Transmittal Letter Page 2

The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims as originally filed.

 Please	charge	Deposit Accou	at No
in the	amount	of \$	

- X A check in the amount of \$\frac{460.00}{}\$ is enclosed.
- _X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. _03-3125 _. Three copies of this sheet are enclosed.
 - _X Any filing fees under 37 C.F.R. \$1.16 for the presentation of extra claims.
 - X Any patent application processing fees under 37 C.F.R. \$1.17.

Respectfully submitted,

Registration No. 28,678

Attorney for Applicants Cooper & Dunham LLP

I hereby certify that this correspondence is being deposited this date with the U.S. postal Service with sufficient postage as first class mail in an envelope addressed to:

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327

arlington VA. 22202

Reg. No. 28,678

ce 1

1185 Avenue of the Americas New York, New York 10036 (212) 278-0400

John P. White

COPY OF PAPERS ORIGINALLY FILED



t. 50634-B/JREGEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE MAY 1 5 2002

Applicant

U.S. Serial No.:

: Eric Rose, et al.

TECH CENTER 1600/2900

- -

09/053,872

Examiner: J. Russel

Filed

: April 1, 1998

Group Art Unit: 1653

For

METHODS FOR INHIBITING THROMBOSIS IN A PATIENT WHOSE BLOOD IS SUBJECTED TO

EXTRACORPOREAL CIRCULATION

1185 Avenue of the Americas New York, New York 10036 May 6, 2002

,...,

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327 Arlington VA 22202

SIR:

LETTER WITH PROPOSED DRAWING CHANGE

Applicants proposed to amend Figure 5A in the above-identified application as indicated in red on the copy of the drawing sheet 7/11 attached hereto as Exhibit D.

Specifically, applicants propose following changes:

Amend Figure 5A to read "CaCl2"

Applicants: Eric Rose et al., Serial No.: 09/053,872 Filed: April 1, 1998 Page 2

Upon approval of the herein-proposed drawing changes and the issuance of a Notice of Allowance, applicants will attend to submitting corrected formal drawings in accordance with present Office practices.

Respectfully submitted,

John F. White Registration No. 28,678 Attorney for Applicant(s) Cooper & Dunham, LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400